

Quarter 1 and 2 - 2023/24 Audit Plan Progress as at 11th September 2023

Appendix 1

Directorate	Area of Review	Status	Opinion
Resources	NNDR Collection	Complete	Reasonable
Growth and Regeneration	Climate Change	Complete	Reasonable
Corporate	Supply Chain and Third Party Risk	Complete	Reasonable
Adult and Communities	Food Equality Strategy	Complete	Reasonable
Adult and Communities	Adult Social Care Provider Failure	Complete	Reasonable
Corporate	Sickness Absence Management	Complete	Reasonable
Corporate	Procurement Compliance	Complete	Limited
Corporate	Health and Safety	Complete	Limited
Growth and Regeneration	Flood Risk Management	Complete	Limited
Resources	Debt Management	Complete	Limited
Resources	Agency Staff	Complete	Limited
Children and Education	Transition from Children in Care to Adults	Complete	Limited
Growth and Regeneration	Client Team re Companies	Complete	N/A
Children and Education	Schools Audit - 2022/23 Overarching Report	Complete	N/A
Corporate	Annual Governance Statement 2022/23	Complete	N/A
Children and Education	Schools Financial Value Standard	Complete	N/A
Adult and Communities	Care Quality Commission Assurance Framework Preparedness	Complete	N/A Position Statement
Corporate	Grant and Other Certifications	Complete	N/A
Corporate	Capital Programme Risk Assessment	Complete	N/A
Corporate	Management Actions Verification	Complete	N/A
Corporate	City Leap Governance	Draft Report	
Children and Education	DSG Improvement Plan	Draft Report	
Children and Education	SEND Plan in progress	Draft Report	
Growth and Regeneration	Homelessness	Draft Report	
Resources	Preparedness for Committee Governance	In Progress	
Corporate	Cyber Security	In Progress	
Corporate	Performance Management	In Progress	
Adult and Communities	Adult Social Care Transformation	In Progress	
Resources	Digital Strategic Partnership	In Progress	
Growth and Regeneration	Planned Repairs	In Progress	
Growth and Regeneration	Licensing Income	In Progress	
Adult and Communities	Refugees Settlement	In Progress	
Children and Education	Schools Follow Up 21/22	In progress	
Corporate	Continuous Auditing and Monitoring	Ongoing	
Children and Education	Embedded Assurance - Children and Education Programme	Ongoing	
Growth and Regeneration	Embedded Assurance - Temporary Accommodation Programme	Ongoing	
Growth and Regeneration	Embedded Assurance -Property Programme	Ongoing	
Corporate	Embedded Assurance - Capital Transformation Programme	Ongoing	
Growth and Regeneration	Embedded Assurance - ASEA Flood Programme	Ongoing	
Resources	Embedded Assurance - Digital Transformation Programme	Ongoing	
Growth and Regeneration	Embedded Assurance - Housing IT Transformation Programme	Ongoing	
Resources	Information Governance Board - Embedded Assurance	Ongoing	
Children and Education	Education Other Than at School	Scoping	
Resources	Records Management	Scoping	
Growth and Regeneration	Capital Projects Audit	Not started	
Growth and Regeneration	Housing Debt Management	Not started	

## 2023/24 Grant Certifications Completed

Directorate	Audit Name	Certified Value
Growth and Regeneration	A4018 Corridor Improvements Grant	£522,237.59
Growth and Regeneration	Active Travel Fund Tranche 3: Cotham Hill	£112,968.18
Growth and Regeneration	Active Travel Fund Tranche 3: Old Market Gap 31/6014	£280,314.35
Growth and Regeneration	Active Travel Fund Tranche 3: Park Row/Upper Maudlin	£149,437.43
Growth and Regeneration	Bristol City Centre and High Streets Recover and Renewal Grant	£638,083.16
Growth and Regeneration	City Centre Economic Development and Markets	£18,698.74
Growth and Regeneration	City Region Sustainable Transport Settlement	£2,371,217.00
Growth and Regeneration	Concorde Way/Dover Court Depot	£238,928.81
Growth and Regeneration	CTSI Funding for Lettings Agency 2022/23	£214,922.05
Growth and Regeneration	Digging For Delft	£47,946.58
Growth and Regeneration	ELENA programme for European Investment Bank (EIB)	£1,461,157.53
Growth and Regeneration	Frome Gateway Framework & Infrastructure Delivery Plan	£494,000.00
Growth and Regeneration	Future Bright	£520,337.94
Growth and Regeneration	Hawkfield Business Park	£3,109,750.70
Growth and Regeneration	High Street Renewal Catalyst Fund Grant	£59,451.00
Growth and Regeneration	Home Upgrade Grant Works (HUG'S 1)	£1,533,969.58
Growth and Regeneration	Home Upgrade Grant Works (HUG'S 2)	£108,152.17
Growth and Regeneration	Maintenance Challenge Fund - New Cut Bridges	£722,668.51
Growth and Regeneration	North and East Bristol – Universal Business Support Grant	£449,817.02
Growth and Regeneration	Old City And King Street 2 – Feasibility And Development Application Grant	£93,066.74
Growth and Regeneration	Old City and King Street Grant	£516,242.03
Growth and Regeneration	Portway Park & Ride Extension	£575,325.29
Growth and Regeneration	Reboot West	£296,868.26
Growth and Regeneration	Scambuster and NTS Funding for Regional Intelligence Functions	£309,000.00
Growth and Regeneration	Regional Intelligence Functions Sub -Grant	£89,221.00
Growth and Regeneration	South Bristol Enterprise Support	£170,496.32
Growth and Regeneration	South Bristol Light Industrial Workspace Grant	£2,841,886.34
Growth and Regeneration	Transforming Cities Bristol Bridge Signals Junction and Car Park VMS project	£273,121
Growth and Regeneration	Western Harbour Feasibility	£142,830.00
Children & Education	Youth Justice Grant 2023/24	£726,557.00
Growth and Regeneration	Zero Emissions Transport City Grant.	£48,308.00
<b>Total</b>		<b>£19,136,980.32</b>